

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Walter DEMUTH et al.

Title: METHOD OF FORMING FLAT-TUBE INSERTION SLOTS IN A HEADER TUBE

Appl. No.: Unassigned

Filing Date: 01/22/2002

Examiner: Unassigned

Art Unit: Unassigned



INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

RELEVANCE OF EACH DOCUMENT

The relevance of documents A6 and A11 is described in the present specification.

Document A7 relates to the production of brazeable pipes.

Document A8 relates to a heat exchanger.

Document A9 relates to a fin for a heat exchanger and a method for making holes in such a fin.

Document A10 relates to a collector tube for a heat transfer unit.

Document A2 and Document A7 claim priority based on the same first-filed application.

Document A3 and Document A8 claim priority based on the same first-filed application.

Document A5 and Document A6 claim priority based on the same first-filed application.

English translations of the foreign-language documents are not readily available. However, the absence of such translations does not relieve the PTO from its duty to consider the submitted foreign language documents (37 CFR §1.98 and MPEP §609). English language abstracts are attached.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

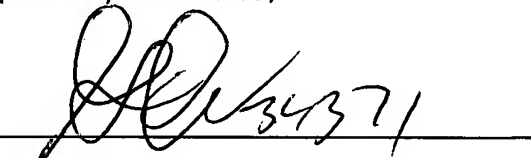
The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date: January 22, 2002

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